

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei YAMAZAKI et al.

Art Unit : 2635

Serial No. : 09/842,219

Examiner : Unknown

Filed : April 26, 2001

: A SYSTEM FOR IDENTIFYING AN INDIVIDUAL, A METHOD FOR
IDENTIFYING AN INDIVIDUAL OR A BUSINESS METHOD

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Commissioner for Patents
Washington, D.C. 20231

JAN 16 2002

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INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed before the receipt of a first Office action on the merits.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

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Date: January 11, 2002

FEB 15 2002

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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-032001	Application No. 09/842,219
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Shunpei YAMAZAKI et al.		
		Filing Date April 26, 2001	Group Art Unit 2635	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
JAN 11 2002 PATENT & TRADEMARK OFFICE	AA	4,993,068	02/12/1991	Piosenka et al.			11/27/1989
	AB	5,280,527	01/18/1994	Gullman et al.			04/14/1992
	AC	5,764,789	06/09/1998	Pare, Jr. et al.			09/27/1996
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AF						Technology Center 2600	

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation
							Yes
	AG	11-203041	07/30/1999	Japan	G06F	3/033	Abstract
	AH	2 312 040 A	10/15/1997	United Kingdom	G06K	11/18	RECEIVED
	AI	EP 0 986 209 A2	03/15/2000	Europe	H04L	9/32	Technology Center 2600
	AJ	WO 98/11750	03/19/1998	WIPO	H04Q	7/38	FEB 15 2002
	AK	WO 98/50875	11/12/1998	WIPO	G06K		RECEIVED

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AL	Anna Stockel; "Securing Data and Financial Transactions"; <i>Security Technology, 1995 Proceedings</i> ; pp. 397-401; Institute of Electrical and Electronics Engineers 29 th Annual 1995 International Carnahan Conference on Sanderstead, UK; October 18-20, 1995
	AM	Hyun-Jung; "Biometrics, Is it a Viable Proposition for Identity Authentication and Access Control?"; <i>Computers & Security</i> , Vol. 14; pp. 215-214; 1995
	AN	Tetsuji Kobayashi; "A Fingerprint Image Recognition Method for Network User Identification"; <i>Computing and Information, 1992 Proceedings</i> ; pp. 369-372; ICCI'92, Fourth International Conference on Toronto, Ontario, Canada; May 28-30, 1992
	AO	
	AP	
	AQ	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	